



# Experimental analyses of the chemical dynamics of ribozyme catalysis

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Most ribozymes in Nature catalyze alcoholysis or hydrolysis of RNA phosphodiester bonds. Studies of the corresponding non-enzymatic reactions reveal a complex mechanistic landscape allowing for a variety of transition states and both concerted and stepwise mechanisms. High-resolution structures, incisive biochemical studies and computer simulations are providing glimpses into how ribozyme catalyzed reactions traverse this landscape. However, direct experimental tests of mechanistic detail at the chemical level are not easily achieved. Kinetic isotope effects (KIEs) probe directly the differences in the vibrational 'environment' of the atoms undergoing chemical transformation on going from the ground state to the transition state. Thus, KIEs can in principle provide direct information about transition state bonding and so may be instrumental in evaluating possible transition states for ribozyme catalyzed reactions. Understanding charge distribution in the transition state may help resolve how rate acceleration is accomplished and perhaps the similarities and differences in how RNA and protein active sites operate. Several barriers to successful application of KIE analysis to ribozymes have recently been overcome, and new chemical details are beginning to emerge.

## Addresses

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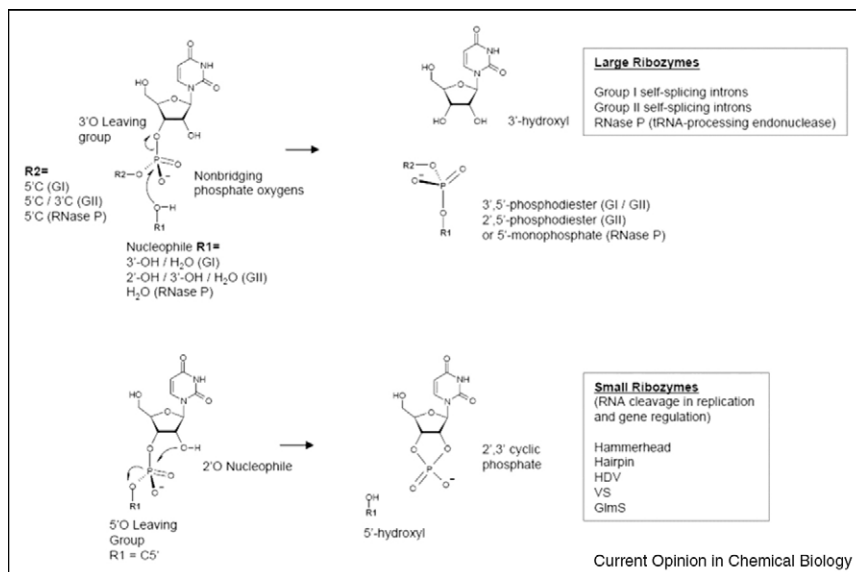
## Introduction

Protein and RNA enzymes (ribozymes) are dynamic and undergo motions with a variety of magnitudes and time scales. The ultimate expression of these motions is the facilitation of bond fission and formation via 'catalytic' interactions between ribozyme active site residues and substrate atoms that enhance chemical reactivity. Thus, in addition to defining the dynamic behavior of ribozymes at a molecular level it is essential to understand the

chemical dynamics of the reactions they catalyze, and to define the interactions occurring between ribozyme and substrate that promote chemical transformation. Aside from the ribosome, most RNA enzymes catalyze phosphoryl transfer reactions and this article focuses on this main ribozyme class. The catalysis of acyl transfer by ribosomal RNA is clearly of high intrinsic interest—important progress has been made and detailed reviews are available [1–3]. Phosphoryl transfer ribozymes can be grouped into two classes on the basis of mechanism [4,5]: Large ribozymes catalyze the displacement of the 3'O from an RNA 3',5'-phosphodiester by intermolecular reactions using water or a ribose 2' or 3' hydroxyl as the nucleophile, and small ribozymes catalyze the displacement of the 5'O from an RNA phosphodiester by an intramolecular reaction involving the adjacent 2'OH as the nucleophile (Figure 1). It has become clear that divalent metal ions play key roles in catalysis by both classes. Nonetheless, research on small ribozymes shows that they can work efficiently in the absence of metals and the focus of understanding their catalytic prowess is justifiably on acid/base catalytic mechanisms. Thus, as with most enzyme-catalyzed addition/displacement reactions the important questions for ribozyme catalysis essentially boil down to the following: Is the reaction concerted or stepwise; what is the structure of transition states between precursor and product; what is the timing and trajectory of proton transfers (what groups donate/what groups accept); and how do active site metal ions, when present, contribute to catalysis?

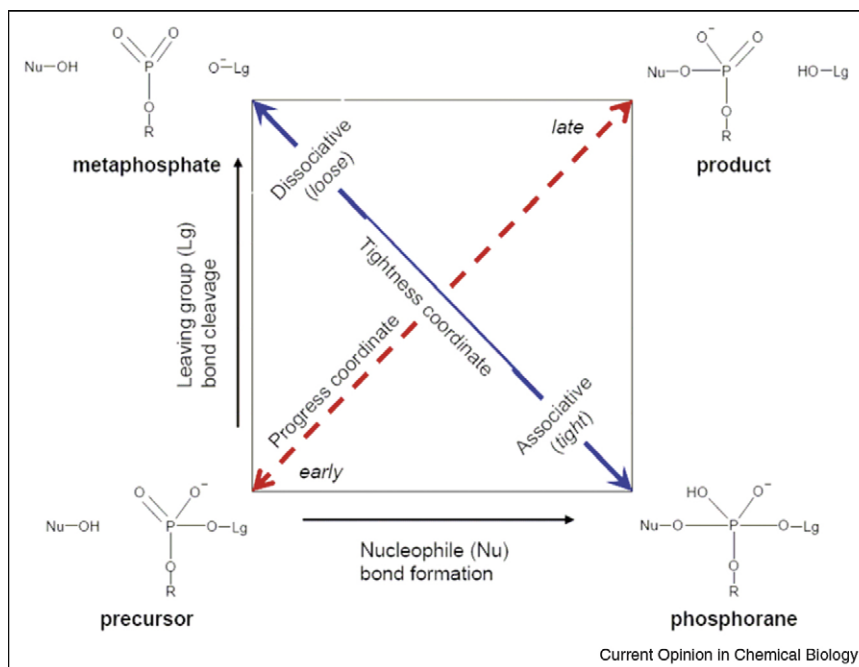
Answers for these questions are emerging from high-resolution structures, detailed biochemical, and physical experimentation and *in silico* simulation [4–6,7<sup>\*\*</sup>,8]. In principle, the most direct approach for probing transition state (TS) structure and for understanding how active site interactions might influence its charge distribution is the determination of kinetic effects that arise from isotopic substitution of the reacting atoms (e.g. [9<sup>\*\*</sup>,10,11]). Substituting individual atoms with heavier, stable isotopes affects the rates and equilibria of chemical reactions in ways that reflect changes in the bonding environment of the substituted atom on going from the ground state to the TS. Indeed, extensive application of KIEs to analysis of phosphodiester reaction mechanisms in solution provides a framework for interpreting isotope effects for reactions that occur on enzymes. However, substituting one of the 600 or so atoms in a small (20 nt) oligonucleotide of RNA with a different isotope of that atom is non-trivial and the effects of such substitutions on reaction rate, though information-rich, are very small in magnitude. As a result, specialized methods are necessary

Figure 1



General mechanisms of the RNA hydrolysis and transphosphorylation reactions catalyzed by large and small ribozymes. (Top) Intermolecular nucleophilic attack by solvent or ribose 2' or 3' hydroxyl catalyzed by large ribozymes. Nucleophilic attack is by 3'OH, 2'OH, or water to displace the 3'O of a phosphodiester bond in the substrate RNA yielding products containing a 3'OH and a 3',5' phosphodiester or 2'5'-phosphodiester for self-splicing Group I and Group II intron ribozymes, or a 5' phosphate in the case of the RNase P ribozyme. (Bottom) Intramolecular 2'-O-transphosphorylation catalyzed by small ribozymes. 2'OH nucleophilic attack and displacement of the 5'O leaving group generate a 2',3' cyclic phosphate and a 5' OH product.

Figure 2



Moore-O'Ferrel/Jencks (MOJ) diagram of the mechanistic landscape for phosphodiester addition/displacement reactions [14,15]. The precursor phosphodiester is located at the bottom left corner of the diagram and the transferred, or hydrolyzed product is located at the upper right. The horizontal coordinate represents Nucleophile (Nu) bond formation and the vertical coordinate represents leaving group (Lg) bond cleavage. The TS for a concerted reaction occupies a point within this landscape that is the lowest barrier along the reaction coordinate connecting the precursor and the product. The diagonal 'progress' coordinate describes whether the transition state is 'early' or precursor-like, or 'late' meaning product-like. Correspondingly, the 'tightness' coordinate describes the extent to which the TS is dissociative resembling metaphosphate or associative resembling a phosphorane. The phosphorane and metaphosphate structures at the boundaries of the tightness coordinate are located at the lower right and upper left of the diagram, respectively.

to measure them. Now, there is progress in meeting these challenges, and information on the detailed chemical mechanisms of ribozyme catalysis is beginning to emerge.

### The mechanistic landscape for biological phosphoryl transfer

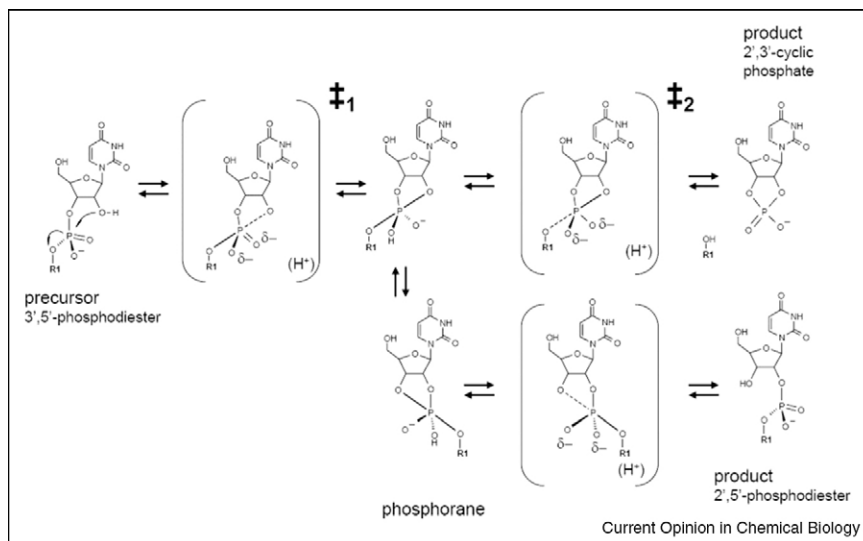
The mechanisms by which transition state stabilization may be accomplished by ribozymes or any other catalyst are dictated by the intrinsic chemical properties of the reacting organic molecules [12,13]. Extensive research on reactions of phosphate esters with nucleophiles show they may react by a continuum of TSs defined primarily by the extent of bond formation to the nucleophile and the extent of bond fission to the leaving group (Figure 2). These two coordinates together define a conformational landscape for the TS, which can be visualized by what is often referred to as a More-O'Ferrel/Jencks (MOJ) diagram, where changes in bond order to the nucleophile define one axis and changes in bond order to the leaving group define the other (e.g. [14,15]). The precursor diester defines one corner (lower left) and the hydrolyzed or transferred product is located on the opposite, or diagonal, corner (upper right). These two states are connected by the reaction coordinate.

Both diagonals of the diagram describe specific attributes of TS structure. The diagonal between the phosphorane

and metaphosphate structures can be considered as the 'tightness coordinate' defining whether a TS lies close to the dissociative, metaphosphate limit ('loose') or is closer to the associative, phosphorane limit ('tight') [16\*\*]. The opposite diagonal, which could be called the 'progress coordinate' defines whether the TS is 'early' or 'late', depending on whether the TS is closer in electronic character to the precursor or product, respectively. Thus, for a concerted reaction there is a single TS that represents the lowest barrier between the precursor and product. The structure of this TS with respect to O-P bonding is defined by its position along the nucleophile bond formation and leaving group bond cleavage coordinates. Overall TS charge distribution can also be described as early/late, or loose/tight (or associative/dissociative) depending on the synchrony of nucleophilic attack and leaving group departure.

Phosphodiester reactions can have a two-step reaction coordinate, in particular when nucleophilic attack is intramolecular that proceeds first with nucleophilic attack via progress along Nu bond formation coordinate and forms a phosphorane intermediate that, by definition, occupies a local minima on the energy landscape. Breakdown of the intermediate occurs via a second TS to form the hydrolyzed or transferred product. The reactions of RNA in solution also exemplify this phenomenon (Figure 3) [61]. For RNA the predominant reaction at

Figure 3



Stepwise mechanisms leading to cleavage and isomerization during the neutral and acidic 2'-O-transphosphorylation reactions of RNA. Nucleophilic attack occurs in the initial step via the transition state labeled,  $\ddagger_1$ , to form a phosphorane intermediate. Because the timing and trajectory of proton transfer is not defined for these reactions the nucleophile is depicted bearing a partial negative charge and the  $H^+$  symbol within the brackets containing the transition state structure represents the departed of the proton from the 2'OH in either a pre-equilibrium step or in the process of being transferred in the TS. As described in the text the phosphorane intermediate can undergo rearrangement to make the 3'O a potential leaving group from this structure. Departure of the 5'O results in the 2'3'-cyclic phosphate and 5'OH products shown on top. Alternatively, pseudorotation followed by departure of the 3'O results in the formation of a 2',5'-phosphodiester product shown on the bottom. These leaving group displacement reactions from the phosphorane intermediate occur via a second transition state ( $\ddagger_2$ ). As in  $\ddagger_1$  the ambiguity regarding proton transfer is represented by the presence of the  $(H^+)$  symbol.

pH > 7 is the hydroxide ion catalyzed transesterification to a 2',3'-cyclic phosphate with release of the 5'O. At pH < 7, pH-independent transesterification to a 2',5'-phosphate (isomerization) is accompanied by slower cleavage to a 2',3'-cyclic phosphate. Given that isomerization occurs via pseudorotation of a phosphorane intermediate, these results suggest that protonation on a non-bridging phosphoryl oxygen is necessary for the stability of the phosphorane intermediate. As no isomerization occurs at pH > 7, the base-catalyzed reaction is thought to occur via a concerted mechanism with an anionic TS.

### Mechanistic insight from linear free energy relationships

Experimental insight into mechanism and where the TSs of phosphoryl transfer reactions may lie on the energetic landscape defined by the MOJ diagram comes from analyzing the dependence of reaction rate on nucleophile and leaving group p*K*<sub>a</sub>. Such experiments, termed linear free energy relationship (LFER) analyses, highlight that differences in the reactivity of the reacting atoms influence phosphoryl transfer TS structure and mechanism. The second order rate constants for the intermolecular reactions of phosphodiester with nucleophiles strongly depend on leaving group p*K*<sub>a</sub> and nucleophile p*K*<sub>a</sub> with Bronsted values (the slope of log *k*/*k*<sub>0</sub> versus p*K*<sub>a</sub>) of β<sub>lg</sub> = −1.3 and β<sub>nuc</sub> = 0.3–0.47 (e.g. [16<sup>••</sup>,17,18<sup>•</sup>]). In LFER studies the comparison of the β value to the substituent effect on the overall reaction equilibrium (β<sub>eq</sub>) provides an estimation of the effective charge on the reacting group in the TS, and thus the change in bond order [19,20]. For example, experiments using aryl esters the β<sub>eq</sub> is −1.74. Given that the leaving group charge in the phenolate product is −1.0, the ground state charge is considered to be +0.74. The β<sub>lg</sub> for these reactions is −1.3 indicating that leaving group charge in the TS is −0.6(+0.74−1.3). Such a result shows a TS that is relatively late with respect to bond cleavage. For nucleophilic attack the observed p*K*<sub>a</sub> dependence must be corrected for desolvation, which becomes less favorable as the p*K*<sub>a</sub> increases [18<sup>•</sup>] and references therein). Nucleophilic attack by substituted pyridines gives a corrected β<sub>nuc</sub> of 0.56 and compared an overall β<sub>eq</sub> of 1.6 the results indicate a TS that is early with respect to nucleophile bond formation. When the leaving group is sulfur instead of oxygen a decrease in β<sub>nuc</sub> is observed (corrected values of 0.42 versus 0.56, for SP and OP diesters, respectively) providing evidence that the more reactive SP diesters require less nucleophile bonding to achieve the same degree of leaving group bond cleavage [22].

Additional evidence for how changes in reactant structure and reactivity influence TS structure comes LFER analysis of intramolecular reactions of uridine-3'-alkyl-phosphodiester and uridine-3'-aryl-phosphodiester. For example, a lesser β<sub>lg</sub> of −0.54 to −0.59 observed for base-catalyzed 2'O-transphosphorylation of uridine aryl phos-

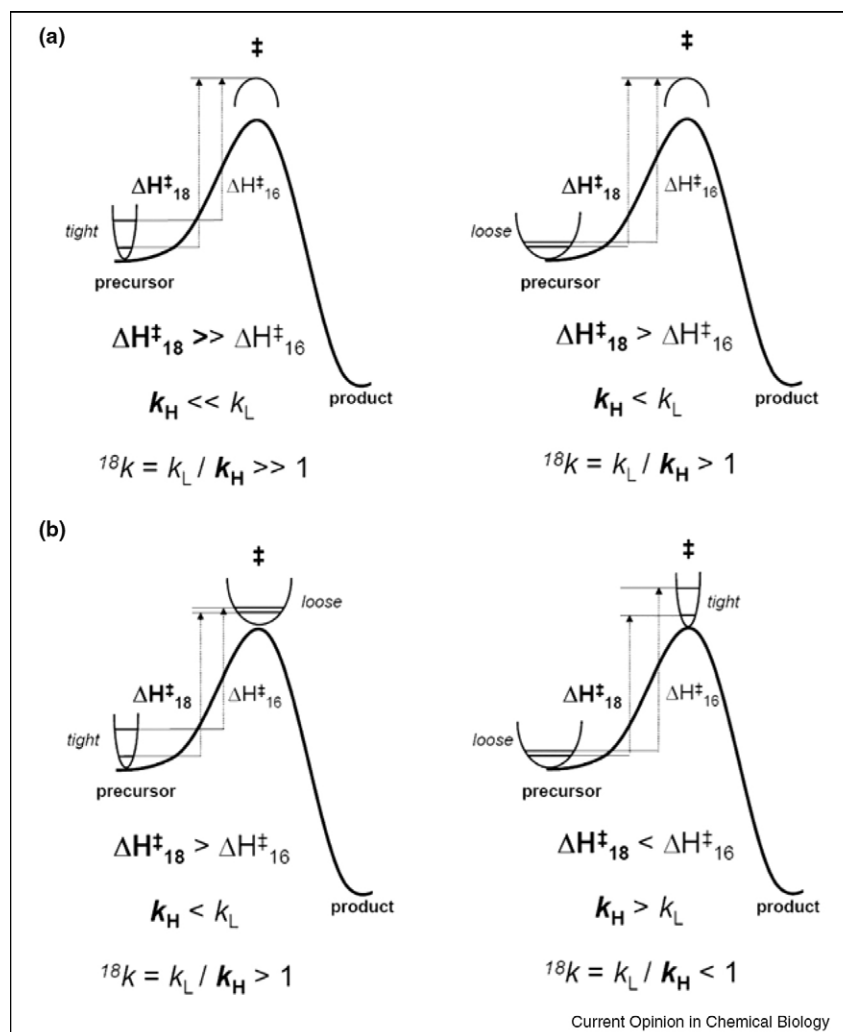
phates [20,21] relative to intermolecular phosphodiester reactions that also have good leaving groups (see above, β<sub>lg</sub> = −1.3). This observation supports an earlier TS for intramolecular nucleophilic attack with respect to leaving group bond fission relative. Interestingly the β<sub>lg</sub> is larger for alkyl-diester compared to their aryl counterparts (−1.29 versus −0.54, for alkyl and aryl-diester, respectively) (e.g. [22]). The fact that no isomerization is seen under these conditions for either group of reactants is evidence in favor of a concerted rather than stepwise mechanism. Given the different β<sub>lg</sub> measured for alkaline hydrolysis of uridine phosphoalkyl versus aryl esters plotting the data for both classes gives rise to a convex Bronsted plot with inflection at ca. pH 12. Formally the convex plot could be due to a change from a concerted to a stepwise mechanism (but without a sufficiently long-lived intermediate to allow pseudorotation), or represent a change in rate-limiting step. For example, the phenol leaving groups nucleophilic attack could be rate limiting, while for the alkoxide leaving groups, the second step of leaving group bond fission could be rate limiting giving rise to a change in β<sub>lg</sub>. Nonetheless, these studies highlight the need to better understand how changes in nucleophile and leaving group reactivity influence the energetic landscape that defines the reaction mechanism.

### Kinetic isotope effects on phosphoryl transfer reactions

Although highly informative, LFER analyses of TS structure are difficult to apply to enzyme reactions because of their high degree of substrate specificity. Experimental insight into the chemical details of phosphoryl transfer reactions that is demonstrably applicable to exploring ribozyme chemical mechanism is the analysis of kinetic isotope effects. Perturbation of rate and equilibrium constants for a chemical reaction by isotopic substitution has long been used as a mechanistic probe [10,11,23–26]. Extensive reviews of isotope effects and their application to phosphoryl transfer are available [26–28]. In this section, we will focus on how isotope effects for phosphodiester cleavage reactions provide information regarding where the TS lies on the MOJ landscape and thus set the stage for interpretation of effects on enzyme systems such as ribozymes.

Substitution of reacting atoms with heavier, stable isotopes can perturb both the rates and equilibria of the corresponding reactions [29]. Changes in the rates of reactions are referred to as kinetic isotope effects (KIE) and are expressed as the ratio of the rate constant of the lighter isotope to the rate constant of the heavier isotope, *k*<sub>L</sub>/*k*<sub>H</sub>. Thus if the lighter isotope is favored, the isotope effect is greater than unity, and termed 'normal'. If, on the contrary, the heavier isotope is favored, the observed isotope effect is less than unity and termed 'inverse'. As depicted in Figure 4 heavier isotopes result in lower bond vibrational frequencies owing to their

Figure 4



Reaction coordinate diagrams illustrating how changes in bond 'stiffness' between the ground state and transition state result in selective enrichment of either  $^{18}\text{O}$  or  $^{16}\text{O}$  in the product. **(A)** Representation of the contribution from the TIF. A hypothetical reaction coordinate (thick line) connects the precursor (left) and product (right) going through a single transition state ( $\ddagger$ ). This contribution arises from the frequency that is lost in the transition state that represents reaction coordinate motion. Since there is no restoring force to this vibration the barrier for the heavy and light isotopes is the same and the difference in reaction rate is due to differences in ground state zero point energies. The substrate containing the heavy isotope will have an activation energy ( $\Delta H_{18}^{\ddagger}$ ) is always greater than that for the lighter isotope ( $\Delta H_{16}^{\ddagger}$ ). As a result the rate of reaction for the precursor substituted with the heavy isotope is less ( $k_H < k_L$ ). This difference gives rise to a normal isotope effect that is greater than unity. **(B)** Representation of the contribution from the TDF. A similar hypothetical reaction coordinate is shown as partly A. In the example on the left the vibrational environment of the substituted atom becomes less stiff (i.e. 'loose') in the transition state represented by a more broad energy well compared to the ground state of the precursor ('tight'). Because the tighter bonding environment results in a greater difference in the vibrational energy levels of the heavy and light isotopes the activation energy for the precursor containing the heavy isotope ( $\Delta H_{18}^{\ddagger}$ ) is greater than that for the lighter isotope ( $\Delta H_{16}^{\ddagger}$ ). As a result the rate of reaction for the precursor substituted with the heavy isotope is less ( $k_H < k_L$ ). This difference gives rise to a normal isotope effect that is greater than unity. By contrast, on the right is shown a reaction coordinate in which the bonding environment of the reacting atom becomes more stiff in the transition state resulting in an inverse isotope effect that is less than unity.

increased mass. Two factors contribute to the extent to which differences in vibrational properties influence the observed KIE [30,31]. One factor is determined by the differences in the imaginary frequency of the TS induced by the different masses of the isotopes. The vibration associated with reaction coordinate motion is considered imaginary because it has no restoring force in the TS (symbolized by the inverted hyperbole in Figure 4A).

This effect does not change with temperature, and is therefore referred to as the temperature-independent factor (TIF), or imaginary frequency factor. The lighter isotope will always possess the greater frequency for the imaginary vibration, and therefore the TIF always favors the lighter isotope, making a normal contribution to the observed isotope effect. The second factor is determined by the changes in the vibrational force constants



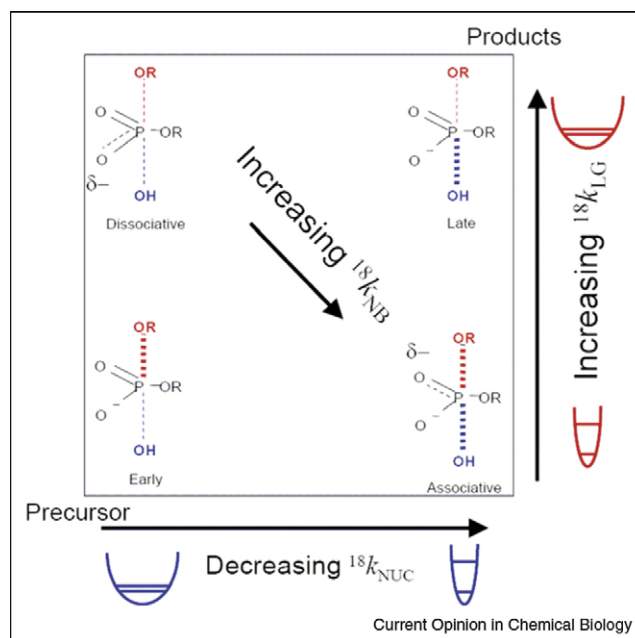
experienced by the atom of interest as it proceeds from the ground state to the TS (Figure 4B). The magnitude of this factor is influenced by temperature, and correspondingly it is referred to as the temperature-dependent factor (TDF). For the TDF, an increase in bond stiffness (bond formation) favors the heavier isotope and decreases in bond stiffness (bond breaking) favor the lighter isotope. Thus, the TDF can make either a normal or inverse contribution to the observed KIE, depending on whether the atom of interest experiences an increase or decrease in bonding.

#### Factors influencing the magnitude of observed KIEs

In phosphodiester cleavage, the bond to the leaving group oxygen atom is broken, and therefore both the TIF and TDF contribute normally, leading to only normal isotope effects in this position ranging from 1.00 to 1.07 depending on the extent of bond cleavage [9<sup>••</sup>,32]. The overall magnitude of the KIE provides information on the extent of bond cleavage in the TS, and therefore a large  $^{18}k_{LG}$  shows that the TS lies in the late portion of the MOJ diagram with respect to leaving group bonding (Figure 5). For the nucleophile a new bond is being formed and the TDF makes an inverse contribution. This inverse contribution competes with the normal TIF contribution to determine whether the overall  $^{18}k_{NUC}$  is normal or inverse. In the early and dissociative portions of the MOJ diagram, where bond formation to the nucleophile is not well advanced the TIF contribution is greater in magnitude than the TDF, leading to a normal  $^{18}k_{NUC}$ . By contrast, the late and associative portions of the MOJ diagram represent TSs where bonding to the nucleophile is well advanced, leading to a large inverse contribution from the TDF and an inverse  $^{18}k_{NUC}$ . Experimentally, nucleophile isotope effects ranging from 1.12 to 0.93 have been measured for early and very late (essentially stepwise with formation of a phosphorane) mechanisms for nucleophilic attack [32]. Thus, determining both the leaving group and nucleophile isotope effects can therefore provide information about the general region of the MOJ diagram the TS resides in. Non-bridging oxygen KIEs ( $^{18}k_{NB}$ ) as secondary isotope effects tend to be quite small, but can provide information about whether the TS lies on the associative or dissociative half of the MOJ diagram [33]. Because the non-bridging oxygens are not involved in the reaction coordinate, they are dominated by the TDF. An associative TS should lead to a decrease in bonding to the non-bridging oxygen atoms, and therefore a normal KIE. A dissociative TS should result in an increase in bond order to the non-bridging oxygen atoms, and therefore an inverse  $^{18}k_{NB}$ .

The effect of isotopic change on an equilibrium constant is referred to as an equilibrium isotope effect (EIE). Analogous to KIEs, they are represented as  $K_L/K_H$ , and like KIE they can be normal or inverse depending on whether the lighter or heavier atom is favored by the

Figure 5



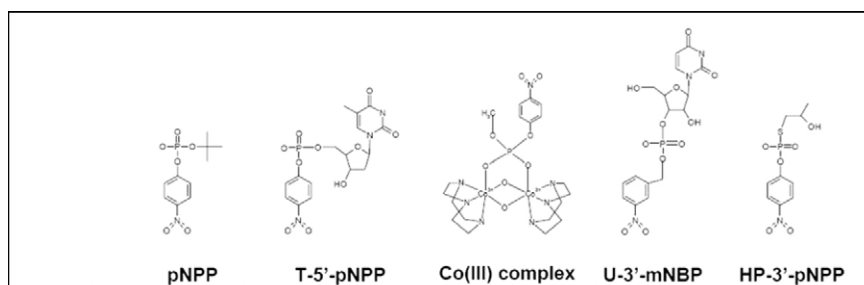
Relative magnitudes of  $^{18}k_{NUC}$ ,  $^{18}k_{NB}$  and  $^{18}k_{LG}$  provide information on the position of the TS in the energetic landscape defined by the MOJ diagram. Loose (early) and stiff (late) bonding environments for the nucleophile are depicted in blue. Similar representations of the leaving group bonding are shown in red. The positions of the precursor and product are the same as in Figure 2.

forward reaction. However, because EIEs do not involve the TS, there is no contribution from reaction coordinate motion and these effects can be interpreted according to the guidelines of the kinetic TDF. If a reaction mechanism involves a 'pre-equilibrium' step before formation of the rate-limiting TS, the full EIE will manifest in the observed experimental isotope effect. Therefore, these effects also play a crucial role in the interpretation of observed isotope effects. These equilibrium effects must be accounted for if a pre-equilibrium step is suspected. For phosphodiester cleavage, such pre-equilibrium steps that influence the observed isotope effects involve protonation/deprotonation of nucleophilic, non-bridging, and leaving group oxygens, and these EIE are in some cases established [28,34–36]. In fact, EIE can often provide mechanistic information. For example, with non-bridging oxygen atoms, where KIE are expected to be small, the presence of a large (inverse) observed isotope effect indicates protonation occurs before nucleophilic attack [37,38]. This logic has been extended to support coordination of oxygen atoms by metal ions in enzymic systems [39<sup>•</sup>,40,41<sup>••</sup>].

#### KIEs for model diester reactions in solution

Data from the few model systems where KIEs have been determined for the leaving group, non-bridging, and

Figure 6



	pNPP	T-5'-pNPP	Co(III) complex	U-3'-mNBP	HP-3'-pNPP
$^{18}k_{\text{NUC}}$	nd	1.068(1.27) [42]	0.937 [43,44]	nd	1.12(1.08) [46]
$^{18}k_{\text{LG}}$	1.0063 [37,38]	nd	1.029 [43,44]	1.027 [45]	1.012 [46]

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Model compounds used in solution KIE analyses. pNPP, *p*-nitrophenol; T-5'-pNPP, thymidine-*p*-nitrophenol phosphate; U-3'-pNPP, uridine-3'-*p*-nitrophenol phosphate; U-3'-mNBP, uridine-3'-nitrobenzyl phosphate; HP-3'-pNPP, hydroxypropyl-*p*-nitrophenol phosphate. The  $^{18}k_{\text{NUC}}$  and  $^{18}k_{\text{LG}}$  values determined for the base-catalyzed reactions of these compounds are shown. The observed nucleophile isotope effects for T-5'-pNPP and for HP-3'-pNPP corrected for equilibrium deprotonation before nucleophilic attack are shown in parenthesis. For T-5'-pNPP the EIE for deprotonation of water of 1.04 is used as described in the text. The EIE for deprotonation of HP-3'-pNPP is less clear and may be significantly lower than the value of 1.04 assumed here as discussed in reference [46].

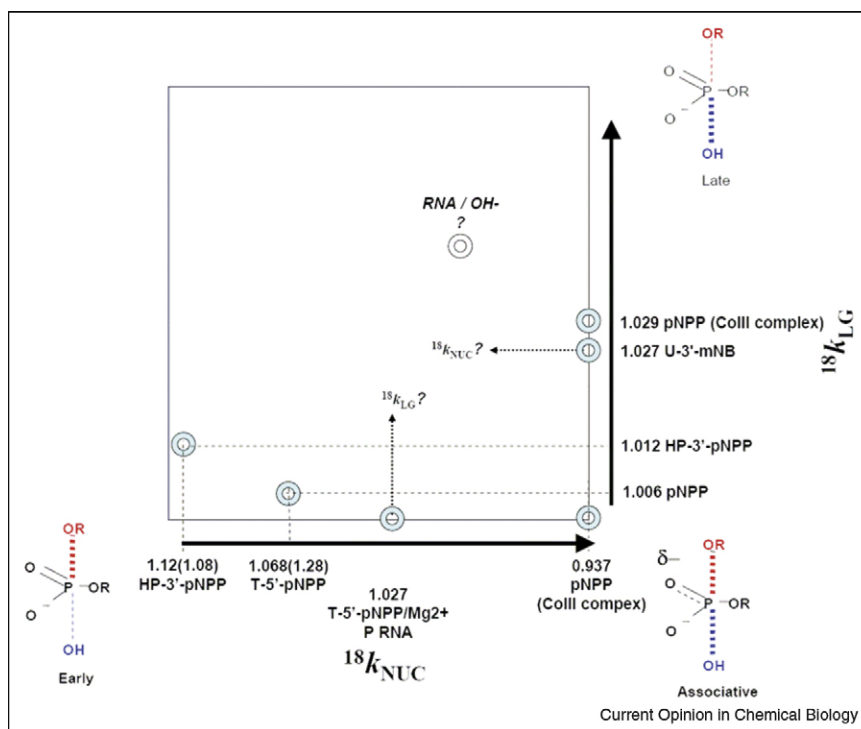
nucleophile positions help illustrate how the magnitude of these measurements reveals mechanistic detail. The phosphodiester system best characterized by KIE is alkaline cleavage of the bond to a *p*-nitrophenolate leaving group (pNPP, Figure 6) via nucleophilic attack by hydroxide [37,38]. The leaving group KIE for this reaction was only 1.0063. Since  $^{18}\text{O}$  leaving group effects range from unity to ca. 1.07 (see above), this relatively small magnitude suggested that bond cleavage was not well advanced in the TS, placing it in the early portion of the progress coordinate of the MOJ diagram (Figure 7). However, the non-bridging KIE was slightly inverse at 0.9945. The inverse nature of this effect is inconsistent with an associative TS, where advanced bonding to the nucleophile would reduce bonding to the non-bridging oxygen atoms, resulting in a normal KIE. Thus, these two effects suggested a concerted TS that resides on the early portion of the progress coordinate and perhaps in the dissociative portion of the tightness coordinate. This interpretation was supported by determination of the nucleophile isotope effect using thymidine-5'-*p*-nitrophenyl phosphate (T-5'-pNPP) [42]. Here, the observed effect was 1.068. Nucleophile effects, as indicated above can be inverse or normal depending on the TIF and TDF contributions and can range from 1.12 to 0.93. Thus, the large magnitude of this normal isotope effect indicated that the full normal EIE contribution from deprotonation to form hydroxide ( $K_{\text{OH}}$ ) contributes to the observed KIE (Figure 9). Indeed, ionic strength effects on reaction rate for hydrolysis relative to neutral nucleophiles and the presence of an alpha effect when peroxide is the nucleophile support the conclusion that the nucleophile undergoes equilibrium deprotonation to yield hydroxide before attack. Even accounting for the EIE on deprotonation,

the KIE ( $k_{\text{BOND}}$ ) was normal at 1.027. This value indicates the TIF is dominant over the TDF, supporting an early, concerted TS.

An additional phosphodiester system with both leaving group and nucleophile isotope effects reported involves catalysis of nitrophenol displacement by a dinuclear  $\text{Co}^{2+}$  complex [43,44]. The results appear to exemplify the two-step mechanism envisioned for RNA hydrolysis. The nucleophile isotope effect is both inverse and extremely large ( $^{18}k_{\text{NUC}} = 0.937$ ) indicative of advanced bond formation to the nucleophile. Indeed, the value was interpreted to indicate that the mechanism occurs through the pentavalent intermediate found at the extreme associative end of the tightness coordinate (Figure 7). In this mechanism the  $^{18}k_{\text{NUC}}$  essentially becomes reflective of the EIE on going from an O-H to the much stiffer O-P bond. A large leaving group isotope effect of 1.029 suggests a late TS for the bond cleavage step. However, this example also demonstrates the limitations of KIEs as these observed effects cannot definitively distinguish between this associative mechanism and a concerted reaction with a TS lying on the late portion of the progress coordinate. Still, the ability to restrict the TS in one of two narrow regions of the MOJ diagram demonstrates their usefulness.

The *p*-nitrophenolate leaving group has been the most popular leaving group for phosphodiester KIE studies both for technical reasons and because its reactivity allows the reaction to occur in a reasonable timeframe. However, in ribozyme catalyzed reactions the leaving group is much less reactive 3' and 5' ribose oxygens, which is likely to alter the TS charge distribution. The effects of changes in nucleophile and leaving group reactivity on observed IEs

Figure 7



Positions of diester reactions on a MOJ landscape as suggested by the magnitudes of  $^{18}k_{\text{NUC}}$  and  $^{18}k_{\text{LG}}$  for alkaline hydrolysis of model phosphodiesters. The orientation of the MOJ landscape is the same as in Figure 2. The positions of reaction TS (circles) are approximate as they are depicted as defined here solely by the magnitude of the observed  $^{18}k$  values linearly reflecting bond order. It is recognized that additional factors such as differences in the vibrational states of intra versus intermolecular reactions and contributions from proton transfer and metal ion coordination do necessarily contribute to the magnitude of the observed KIEs. As indicated in the text additional computational analyses and investigation of model reactions will be necessary to accurately relate the observed nucleophile and leaving group KIEs in terms of absolute bond order. The diesters examined are designated as in Figure 7.

appear to be quite large, however, only a few studies have been done. One such study used an *m*-nitrobenzyl leaving group attached to the 3' hydroxyl of uridine to study the attack by the 2' hydroxyl (U-3'-mNBP (Figure 6) [45]. For the alkaline reaction, the leaving group isotope effect was 1.027. Again, the large KIE indicates advanced bond cleavage in the TS. A corresponding nucleophile isotope effect has not been measured. A stepwise mechanism with a stable phosphorane intermediate was ruled out because pseudorotation indicative of the formation of a 2',3' cyclic phosphorane intermediate was not observed. Therefore, the leaving group isotope effect was interpreted to indicate a late, concerted TS.

Recently, Hengge and colleagues examined the nucleophile and leaving group isotope effects for base-catalyzed reactions of hydroxypropyl-*p*-nitrophenol phosphate (HP-3'-pNPP) in which nucleophilic attack by the propyl hydroxyl mimics the intramolecular 2'-O-transphosphorylation reaction of RNA [46•]. Here, the analog of the 3'O has been replaced with sulfur, however, the results provide insight into the mechanism of such intramole-

cular transphosphorylation reactions. An observed  $^{18}k_{\text{NUC}}$  of 1.12 is assumed to include a large normal contribution from equilibrium deprotonation to yield the more reactive oxyanion. This effect for an alcohol is estimated at 1.015, and thus the intrinsic effect on bond formation is still very large and normal indicative of a very early TS with respect to bond formation. The  $^{18}k_{\text{LG}}$  was determined to be 1.012, and thus leaving group departure occurs in the rate-limiting step of the reaction that is presumed to be concerted (Figure 7). Compared to the results for displacement of the nitrophenol leaving group by intermolecular attack by hydroxide, the significantly greater value for  $^{18}k_{\text{LG}}$  (1.012 versus 1.003) indicates a TS that is later with respect to bond cleavage.

In sum, even for these relatively few systems a range of KIEs are observed with significant differences detected for inter versus intramolecular nucleophilic attack and for diesters with different leaving group relativities. Additional quantitative analyses of KIEs as a function of nucleophile and leaving group  $pK_a$  will be necessary for interpreting potential effects active site interactions in



enzyme-catalyzed reactions. Also, a more comprehensive understanding of the equilibrium EIEs on ribose hydroxyl protonation will be necessary to interpret the potential effects of proton transfer on observed KIEs. Nonetheless, there is potential for gaining significant insight already if determinations for ribozyme-catalyzed reactions can be made.

## Challenges for the application of KIE analyses to ribozyme systems

### Synthesis of isotopically labeled nucleotides for incorporation into RNA substrates

In addition to understanding how to interpret the magnitude of IEs in terms of TS structure there are two experimental challenges that must be overcome to apply this approach to ribozyme catalysis. First, nucleoside monomers containing site-specific enrichment of O isotopes at the nucleophile, leaving group and non-bridging oxygen positions must be synthesized. For small ribozymes catalyzing 2'-O-transphosphorylation (see Figure 1) nucleosides enriched with  $^{18}\text{O}$  at the 5'O and 2'O positions are necessary to measure  $^{18}k_{\text{LG}}$  and  $^{18}k_{\text{NUC}}$ , respectively. In the intermolecular reactions catalyzed by large ribozymes, the leaving group is always the 3'O and 3'- $^{18}\text{O}$  modified nucleotides are therefore necessary for probing leaving group effects. For the Group I intron, which self-splices by an overall two-step mechanism involving two subsequent transesterification reactions, the nucleophile is the 3'O of a guanosine nucleoside co-substrate, whereas in the second step the nucleophile is the 3'O that served as a leaving group in the first transesterification reaction. The Group II intron uses a similar two-step mechanism, however, the nucleophile for the first step is the 2'OH of an adenosine residue located within the intron sequence. As indicated above, the nucleophile for the hydrolysis reaction catalyzed by RNase P comes from the solvent, water. Both Group I and Group II introns will catalyze hydrolysis as well, and variants that react primarily via hydrolysis are known (e.g. [47,48]).

The syntheses of isotopically enriched nucleosides using several different methods have been reported, but can be limited in terms of nucleobase identity, yield and degree of isotopic enrichment. However, there are recent advances that should allow all of the reacting atoms for ribozyme catalyzed reactions to be probed. The 3'- $^{18}\text{O}$  and 2'- $^{18}\text{O}$  derivatives of uridine and adenosine were made previously by acid catalyzed exchange into protected 3'-ketonucleoside derivatives followed by reduction [49]. However, for the reaction at C3' both ribose and xylose are formed and separation of the appropriate ribose epimer is necessary. An alternative approach has been to begin with nucleotides containing the inverted configurations at the 2'-C or 3'-C positions and modify them into good leaving groups that are subsequently replaced by using  $^{18}\text{O}$  nucleophiles [50]. Zhong

and Strobel recently reported an improved synthesis of 3'- $^{18}\text{O}$  adenosine using this approach as well as nucleophilic opening of a 2'3' adenosine epoxide with significantly increased regioselectivity [51\*]. For modification of the 2' positions of pyrimidines the hydrolysis of 2,2' and 2,3'-anhydronucleosides is a highly viable approach. However, nucleophilic attack can occur at either the 2' position in the sugar ring yielding ribose, or the 2 position of the pyrimidine base giving the arabinose epimer. Unfortunately, simple aqueous hydrolysis yields overwhelmingly the arabinose product, however, Dai et al. solved this problem by noting that the ribose product is favored by nucleophiles that are more electronegative successfully using  $^{18}\text{O}$ -benzoic acid to generate 2'- $^{18}\text{O}$ -uridine with high regioselectivity [52\*].

The degree of enrichment for these methods can be quite high (80-90%), however, enrichment need not be to the level of isotopic purity since KIE measurements are generally done by the competitive method [10]. Determining KIEs by the competitive method involves measuring the mass ratios of a near 50/50 mixture of isotopomers at different degrees of reaction. As the reaction proceeds, the first few percent of product will become enriched in the faster reacting isotope proportional to the magnitude of the rate difference. As the reaction goes to completion the final ratio in the product will necessarily match that of the precursor. The basic procedure, therefore, is to measure isotope ratios in the product at low and complete conversion, or the substrate at the start of the reaction and the residual substrate after partial reaction. The fraction reacted and the isotope ratio of the two samples is used to compute the isotope effect.

### Advances in determination of isotopic ratios by whole molecule mass spectrometry

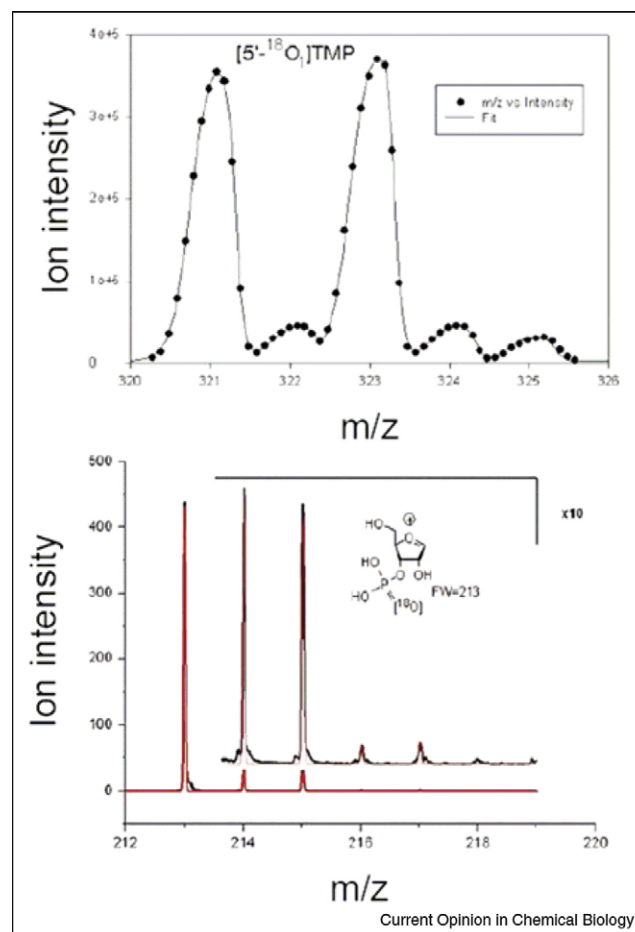
These measurements require the ability to determine isotope ratios with precisions better than 1%, and this degree of performance is typically achieved using isotope ratio mass spectrometry (IRMS) as described above, or scintillation counting of radioactive isotopes. These methods are highly useful, but both possess inherent limitations that restrict their application to catalytic RNAs. IRMS can analyze only small, non-polar gasses such as  $\text{CO}_2$ ,  $\text{N}_2$ , and  $\text{H}_2$ ; thus, this technique can be used only when the atom of interest can be quantitatively converted to one of these gasses. Significant amounts (micromoles to millimoles) of material are required for IRMS, however, the high precision permits the experiment to be done in favorable cases by measuring changes from natural abundance. Scintillation counting requires substantial double-labeling schemes involving specific isotopic pairs (usually  $^3\text{H}$  and  $^{14}\text{C}$ ), again limiting the molecules that can be analyzed. The use of  $^{32}\text{P}/^{33}\text{P}$  was reported by Unrau and Bartel to determine the IE for nucleoside transfer reaction catalyzed by a ribozyme

derived from *in vitro* selection to achieve precisions of ca. 1–2% [53<sup>•</sup>]. The ease of incorporating radioactive phosphates into RNA by enzymatic transfer makes this a potential rapid way to assess KIEs of relatively large magnitude.

One alternative to these methods highly applicable to ribozymes is whole molecule mass spectrometry. Relatively gentle ionization methods such as electrospray ionization (ESI) and matrix-assisted laser desorption ionization (MALDI) coupled with quadrupole (Q-MS) and time-of-flight MS (TOF-MS) make possible the analysis of isotope ratios for numerous biologically relevant organic molecules with molecular masses in excess of 500 Da (ref). However, sources of error in Q-MS include noise (from both the ion source and the detector), background, intensity fluctuations, and the inability to capture the entire ion current arising from individual isotopologues. Some of these effects can be minimized by working with isotope ratios near unity, utilizing background subtraction and/or injecting analyte by continuous direct infusion [54<sup>•</sup>]. The  $m/z$  data encompassing the isotopic envelope are fit to an equation describing a series of equally spaced and identically shaped peaks (Figure 8) [41<sup>••</sup>,42]. The analysis protocol can also be applied to quadrupole time-of-flight tandem mass spectrometry. Here, the ion of interest is selected by Q-MS then fragmented, and the resultant ions are analyzed by TOF-MS. This operation results in dramatic improvements in signal to noise, and as the TOF detector intrinsically collects a range of masses, the output data are ideal for quantitative analysis by peak fitting. An example of the primary tandem Q/TOF-MS/MS results are shown in Figure 8B. The ability to measure isotope ratios in nucleotides with high precision and sensitivity combined with the capability to synthesize RNA oligonucleotides with site-specific isotopic enrichments permit a broad range of enzymes, including ribozymes to be subject to high-resolution mechanistic analyses.

One additional consideration also exists for any KIE determination for enzyme systems. KIE only probe the rate-limiting step of a chemical reaction. In solution, this step almost always involves bond fission and/or formation involving the atoms in the reaction coordinate. However, enzymatic catalysis introduces numerous steps into the reaction that can be rate-limiting and do not involve bond changes in the reaction coordinate [10,26,55]. When such 'non-chemical' steps are limiting, the enzyme is said to exhibit a 'commitment to catalysis'. As an example, if an enzyme has a high affinity for the substrate, the chemical transformation will nearly always take place rather than substrate dissociation. Thus, the enzyme–substrate complex is committed to catalysis upon substrate binding. In this case, the commitment is termed an external commitment. However, the commitment can also occur in a conformational change of an enzyme–substrate complex

Figure 8



Determination of mass ratios in nucleotides by ESI-Q MS and tandem ESI-Q/TOF MS/MS. (A) An example of a mass spectrum of mass/charge ( $m/z$ ) versus ion intensity for a mixture of thymidine-5'-monophosphate and  $5'$ - $^{18}\text{O}$ -thymidine-5'-monophosphate ( $[5'-^{18}\text{O}]$ TMP) is shown. The mass spectrum was obtained by direct infusion by ESI and separation by quadrupole mass spectrometry. The individual points are the intensity data at different  $m/z$  values and the solid line is a fit of these data to a series of Gaussian peaks as described in the text. (B) Example of results from tandem ESI-Q/TOF MS/MS analysis of natural abundance uridine-3'-monophosphate. The figure shows the product ion spectrum of  $3'$ -UMP after selection of the parent ion by quadrupole MS followed by collision fragmentation. The resultant ribosyl phosphate ion has a mass of 211 mu. The additional peaks at  $M+1$  and  $M+2$  come from the presence of natural abundance deuterium and  $^{18}\text{O}$  present in the molecule. The inset shows a blow-up of the natural abundance peaks to illustrate the dramatic enhancement in signal to noise relative to ESI-Q MS.

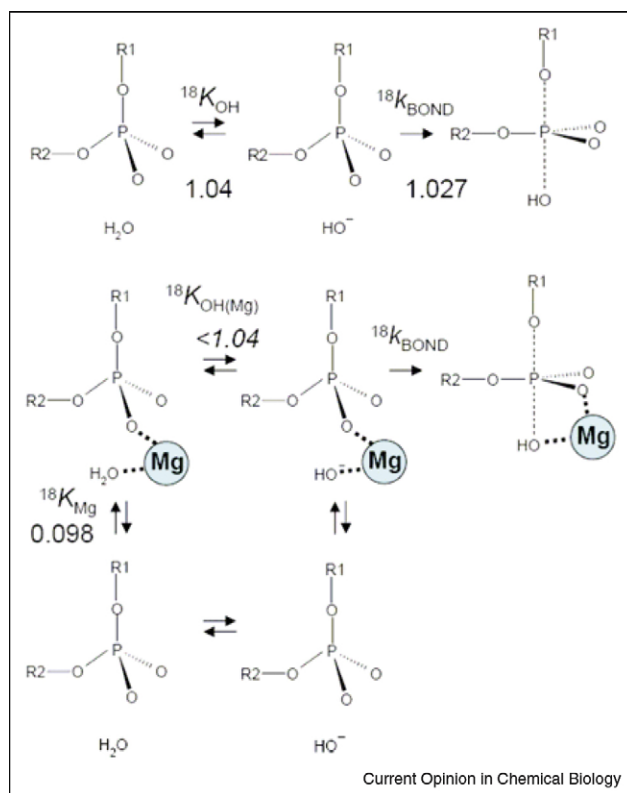
that occurs after binding, but before the chemical step. In these cases, the commitment is termed internal. The presence of an external commitment can be probed by pulse-chase experiments, but internal commitments can be more problematic. A more extensive treatment of commitments can be found in the reviews of enzymatic isotope effects given above.

### Initial ribozyme and model reaction studies

A key feature of the mechanisms of large ribozymes, and indeed numerous phosphodiesterases is the activation of nucleophilic attack by metal ion coordination. However, probing directly whether the nucleophilic water is coordinated to a metal ion in the enzyme-catalyzed TS is difficult. For the assumed concerted mechanisms of large ribozymes, a normal  $^{18}k_{\text{NUC}}$  is anticipated on the basis of the value observed for the displacement of nitrophenol by hydroxide attack [42]. However, a key question is—What is the effect of the proposed direct coordination of the nucleophilic water by  $\text{Mg}^{2+}$  in the two metal ion model? A possible model for active site metal ion interactions in ribozymes is the catalysis of T-5'-pNPP hydrolysis by  $\text{Mg}^{2+}$  in solution [41••]. Inclusion of  $\text{Mg}^{2+}$  increases the hydrolysis rate partly by lowering the  $\text{p}K_{\text{a}}$  of coordinated water molecules, thereby increasing the concentration of the lyate hydroxide. However, an additional *ca.* 100 fold greater extent of catalysis of T-5'-pNPP hydrolysis by  $\text{Mg}^{2+}$  is observed beyond the 100-fold expected from increasing the concentration of hydroxide by lowering  $\text{p}K_{\text{a}}$ . Simultaneous interaction of the metal ion with the nucleophile and phosphodiester is a likely mechanism for this additional catalysis, analogous to observation of metal ion catalysis of phosphomonoesters [62]. Interestingly, for the  $\text{Mg}^{2+}$ -catalyzed T-5'-pNPP hydrolysis reaction there is a significant decrease in the observed  $^{18}k_{\text{NUC}}$  to 1.027 from 1.063 before accounting for any effect from deprotonation of the nucleophile. This result demonstrates a significant increase in bonding 'stiffness' to the nucleophile in the TS relative to the TS in the absence of  $\text{Mg}^{2+}$  [41••]. A portion of this increase in bond 'stiffness' could come from coordination of the nucleophilic OH— (Figure 9). Indeed, the equilibrium isotope effect on metal ion coordination of water ( $^{18}K_{\text{COORD}}$ ) is 0.98 [56], and could easily account for much of the difference in the  $^{18}k_{\text{NUC}}$  for alkaline hydrolysis of T-5'-pNPP in the presence versus the absence of  $\text{Mg}^{2+}$ .

Like other large ribozymes the RNA hydrolysis reaction catalyzed by P RNA is thought to involve the use of active site metal ions on the basis of the observation that rate enhancement is decreased dramatically by thio substitution of the non-bridging oxygens and 3'O [57,58]. For P RNA catalysis the magnitude of  $^{18}k_{\text{NUC}}$  is normal and relatively large but less than that observed for hydroxide attack on T-5'-pNPP (1.027). Several factors could account for this apparently 'stiffer' bonding environment for ribozyme catalyzed phosphodiester bond hydrolysis compared to the solution reaction including an enzyme commitment to catalysis or metal ion coordination to nucleophile as implied by the results from T-5'-pNPP hydrolysis in the presence of  $\text{Mg}^{2+}$ . Enzyme pulse chase studies showed that the observed commitment for the P RNA reaction was low. Also change in the rate of ribozyme reaction by increasing pH resulted in only a small change in the observed  $^{18}k_{\text{NUC}}$ , indicating that isotopic

Figure 9



Mechanistic interpretation of nucleophile kinetic isotope effects for  $\text{Mg}^{2+}$  catalysis of T-5'-pNPP hydrolysis and RNA hydrolysis catalyzed by P RNA. Model for nucleophilic activation by the RNase P ribozyme and effects of direct coordination by  $\text{Mg}^{2+}$  on the observed  $^{18}k_{\text{NUC}}$ . Coordination of water by  $\text{Mg}^{2+}$  exhibits an inverse (0.98) isotope effect ( $^{18}K_{\text{Mg}}$ ). Magnesium will also perturb the equilibrium isotope effect on deprotonation of water to form hydroxide ( $^{18}K_{\text{OH}}$ ), resulting in a similar inverse shift in the observed effect. As discussed in the text it is also possible that metal ion interactions can alter the intrinsic kinetic isotope effect for bond formation ( $^{18}k_{\text{bond}}$ ).

enrichment occurs at the chemical step. The most attractive possibility consistent with the proposed catalytic mechanism is that the additional stiffness in the TS of the ribozyme reaction comes from coordination to an active site metal ion as proposed for the solution hydrolysis of T-5'-pNPP.

### Future directions

Looking forward, intramolecular 2'-O transphosphorylation reaction catalyzed by small ribozymes is also mechanistically complex and KIE analysis is likely to provide important new detail for this class of reactions as well. Initial results for base-catalyzed RNA hydrolysis indicate a transition state consistent with previous KIE results using U-3'-mNB (Harris, Dai, Piccirilli, Anderson, unpublished). For such intramolecular phosphoryl transfer reactions understanding whether a phosphorane forms will clearly be important. If such an intermediate forms for the

ribozyme reaction it raises the possibility that an additional active site interaction occurs to protonate one of the non-bridging oxygens. If the mechanism has an early TS with respect to nucleophile bond formation, then a normal  $^{18}k_{\text{NUC}}$  would be predicted. By analogy to the model reaction of CoIII complex characterized by Hengge (see above) a completely stepwise mechanism shows a significantly inverse  $^{18}k_{\text{NUC}}$ . Thus, observation of such a strongly inverse  $^{18}k_{\text{NUC}}$  would provide a clue as to whether an intermediate forms.

In particular the magnitude of KIEs for the reactions catalyzed by small ribozymes could be important for characterizing the extent of proton transfer in the TS and thus provide better understanding models of acid-base catalysis. As detailed above, protonation and deprotonation will influence the magnitude of observed leaving group and nucleophile KIEs, respectively. Pre-equilibrium protonation/deprotonation will result in the greatest contribution up a maximum limited by EIE for proton transfer. While the EIE for deprotonation of water to yield OH<sup>-</sup> is known (1.04) the effect for proton transfer to alcohols like the 2'OH has not been measured directly and determining this value is necessary for interpreting KIEs for 2'-O-transphosphorylation. Additionally, Anderson and colleagues showed that the strength of the H-bond donation by alcohols is reflected in the carbon-hydrogen bond of the H-C-O-H functional group[59,60].]. The change in ionization for the nucleophile and leaving group in small ribozymes could in principle be monitored by determining the effect of a secondary deuterium isotope effect on either C2' or C5', respectively. While synthetic and experimental obstacles remain, there is clearly impetus for further analysis of both primary and secondary KIEs in ribozymes.

Based on the extensive studies of phosphoryl transfer reactions in solution and both ribozyme and protein enzymology to date, the fundamental questions to be addressed in characterizing ribozyme catalytic function include the following. Are mechanisms concerted or stepwise? Do the nucleophile and leaving group undergo changes in protonation by pre-equilibration or are protons 'in flight' in the TS? Does one (probably not more) of the non-bridging oxygens become protonated during the reaction? Are metal ions in the active site acting by facilitating proton transfer via their coordinated waters, acting as Lewis acids to offset charge, or acting electrophilically via direct coordination to the phosphate oxygens? The chemical interactions and transformations implied by these questions result in dramatic differences in the vibrational properties of the reacting atoms in the TS. Thus, characterizing values for KIEs on nucleophile, leaving group and non-bridging oxygens for ribozyme catalyzed reactions are of immediate value. However, the magnitude of the observed KIE will be sensitive to all of the vibrational modes that are different between the

ground state and the TS. An example of this is the nucleophile effect on diester hydrolysis that is likely to contain contributions from deprotonation, desolvation and potentially metal ion coordination in addition to the effect on bond formation as described above. While important information on mechanism such as whether the reaction is concerted or stepwise can probably be gained in the immediate term, interpreting KIEs in a more detailed manner will require additional synergy between parallel results from reactions of model compounds in solution together with coordinated physical organic analysis together with high level computational simulations.

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